

SZÉKFOGLALÓ ELŐADÁSOK A MAGYAR TUDOMÁNYOS AKADÉMIÁN

Michael Berridge

CALCIUM, MEMORY AND ALZHEIMER'S DISEASE



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Michael J. Berridge

CALCIUM, MEMORY AND ALZHEIMER'S DISEASE



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INTRODUCTION

When I retired, my American colleagues (Jim Putney and Gary Bird) presented me with a coat of arms they had designed. The motto read "Apis genua est Calcium", which translates to "Calcium is the bee's knees" and nicely summarises my lifelong fascination with calcium (Ca²⁺). The small icons on the shield illustrate various aspects of my research: the fly represents the model organism that I used to discover the cellular messenger inositol trisphosphate (InsP₃ top right) (Berridge 2012a). I discovered that InsP₃ acts to generate the Ca²⁺ signals that often appear in cells in the form of regular oscillations (bottom right). This InsP₃/Ca²⁺ signalling pathway will feature significantly in the following discussion of how Ca²⁺ functions in memory formation and how such memories are rapidly lost in Alzheimer's disease (AD).



Figure 1. A coat of arms depicting highlights of the author's scientific work. The motto, which is translated as "Calcium is the bee's knees", reflects the central role of Ca^{**} in regulating the activity of multiple cellular processes

When discussing Ca^{2+} , it is important to establish that this ion has two major functions (*Figure 2*). Firstly, it has a structural role as part of the skeleton. The Ca^{2+} is absorbed from the intestine and enters the blood stream to be carried around the body where it is used by osteoblasts to form bone. Secondly, it also has a vital signalling role operating within the cell to regulate a large number of cellular processes such as fertilization, muscle contraction, secretion of hormones such as insulin and adrenalin, secretion of fluid by the salivary glands and memory formation. Before discussing the role of Ca^{2+} in memory in more detail, I shall explore some of the primary features of this Ca^{2+} signalling system.



Figure 2. Calcium (Ca³⁺) has two important functions. Firstly, it is taken up from the lumen of the intestine and can then be used for bone formation. Secondly, Ca³⁺ functions in cell signalling where it acts to regulate multiple cellular processes

CELL COMMUNICATION MECHANISMS

Cells communicate with each other through two main mechanisms (*Figure 3*). Firstly, there is electrical communication that occurs when cells are connected to their neighbours through gap junctions. These low resistance pathways allow free communication between the cells for ions and small messenger molecules. Secondly, cells are also linked together through chemical communication whereby one cell releases a chemical stimulus (e.g. hormones, neurotransmitters and growth factors) that is then detected by receptors on the target cell. These receptors then activate various internal cell signalling pathways to activate effectors in the cytoplasm (E_{cyto}) or nucleus (E_{ruel}) to stimulate multiple cellular processes.



Figure 3. Cells communicate through either electrical or chemical signalling mechanisms. Cells that are connected through gap junctions (shown on the left) can communicate rapidly with each other by passing electrical current or through the diffusion of internal messengers. In the case of chemical communication (shown on the right), one cell releases a chemical stimulus, which diffuses to a target cell that has receptors to detect the stimulus and to relay information along various cell signalling pathways to activate effectors either in the nucleus (Enucl) or cytoplasm (Ecyto)

We now know that there is a large number of such cell signalling pathways (*Figure 4*). During development, each specific cell type selects out and expresses those particular pathways that are suited to carry out their particular function. Of all of these pathways the Ca²⁺ signalling pathway is expressed most widely and plays a central role in many different cellular processes. As is evident from *Figure 4*, the Ca²⁺ signal can be generated by a number of different mechanisms (i.e. Steps 2-5 in *Figure 4*) with very different properties and this greatly enhances the versatility of the Ca²⁺ signalling system that is capable of generating Ca²⁺ signals with very different temporal and spatial properties (Berridge et al 2000). For example, localized Ca²⁺ signals in nerve ending operate in microseconds to release neurotransmitters, whereas much slower signals lasting for many seconds trigger the process of fertilization.

In order to achieve this versatility, there is a very large Ca²⁺ signalling toolkit (*Figure 5*) from which components can be mixed and matched to create these widely different Ca²⁺ signals (Berridge 2012b). There are a large number of channels (shown in green) responsible for introducing Ca²⁺ into the cell, Ca²⁺ pumps and exchangers (shown in red) remove Ca²⁺. Then there are a large number of internal sensors (shown in orange) that detect the Ca²⁺ in order to activate different cellular processes (shown in yellow). As will be described later, brief pulses of Ca²⁺ are responsible for forming memories, whereas slower lower concentrations erase memories. The next aspect to consider, therefore, is how components of the toolkit are assembled to produce such pulses of Ca²⁺.

Ca²⁺ signalling mechanism

A key aspect of Ca^{2+} signalling concerns the huge concentration gradient of Ca^{2+} that exists between the inside and outside of the cell. The concentration of Ca^{2+} in the blood surrounding the cell is 1-2 mM, whereas that inside the cell is 10,000 times lower at 100 nM (*Figure 6*). Despite this enormous concentration gradient, very little Ca^{2+} enters the cell under resting conditions because the



Figure 4. Summary of the major signalling pathways used by cells to regulate cellular processes. Cells have a number of signalling systems that are capable of responding either to external stimuli or to internal stimuli. The external stimuli acting on cell-surface receptors are coupled to transducers to relay information into the cell using a number of different signalling pathways (Pathways 1–18). Internal stimuli activate signalling pathways independently of external signals (Pathways 19 and 20). All of these pathways generate internal messengers that then act through internal sensors to stimulate the effectors that bring about different cellular responses. In this article, attention will be focused on the pathways 3-5 that function to generate the Ca²⁺ signals responsible for memory formation



Figure 5. Summary of the large Ca²⁺ signalling toolkit. The green boxes illustrate the membrane Ca²⁺ channels, whereas the red boxes are the pumps and exchangers that remove Ca²⁺ either out of the cell or back into the endoplasmic reticulum (ER). The purple boxes represent the buffers located in the cytoplasm or in the endoplasmic reticulum (ER). To carry out its signalling function, Ca²⁺ binds to sensors (brown boxes) that then employ a range of effectors to stimulate many different cellular processes shown in the yellow boxes

plasma membrane that surrounds the cell is very impermeable. However, cells have channels in this plasma membrane that open to allow Ca²⁺ to enter in response to the appropriate stimulus. In addition to this external source of Ca²⁺, cells also have an internal store of signal Ca²⁺ contained within the endoplasmic reticulum (ER). Like the plasma membrane, the ER also has channels capable of releasing Ca²⁺ from this internal store in response to external stimuli (*Figure 6*). Many of these stimuli are incapable of entering the cell so they act by binding to receptors in the plasma membrane that then act to generate an internal messenger, such as the InsP₃ described earlier. The InsP₃ then diffuses into the cell to bind to the channels on the ER to release Ca²⁺ into the cytoplasm.



Figure 6. The concentration of Ca²⁺ in the blood, which is 10,000-fold higher than that in the cytoplasm, is one of the sources of signal Ca²⁺. Cells also have an internal membrane store of Ca²⁺ that can also be released into the cytoplasm. In response to a stimulus, Ca²⁺ enters across the plasma membrane or is released from the internal store. In neurons, a brief transient of Ca²⁺ from a resting level of 100 nM to 1000 nM is responsible for memory formation. In contrast, a small transient from 100 nM to 300 nM induces memory erasure As a result of the opening of the external channels and/or the ER channels, the concentration within the cell increases from the resting level of 100 nM to levels between 300 to 1000 nM. Such opening of these channels is normally transient because they rapidly inactivate and once they close, the signal Ca²⁺ is rapidly removed by being pumped out of the cell and back into the ER (*Figure 6*). The channels and pumps that operate consecutively to generate the characteristic Ca²⁺ transients are then responsible for stimulating cellular processes. In the case of memory, Ca²⁺ transients can regulate both memory formation and memory erasure depending on the amplitude of the transient. There is increasing evidence that 1000 nM Ca²⁺ transients form memories, whereas smaller 300 nM transients can erase these memories.

There is increasing evidence that alterations in the properties of these Ca^{2+} transients are responsible for some of the major diseases in man. An extreme example occurs during stroke or heart attack where blood clots cut off the blood supply to large groups of cells that die because of the persistent elevation of Ca^{2+} (*Figure* 7). Since the pumps that remove Ca^{2+} from the cell depend on a constant supply of energy (ATP), the ischemia and resulting decline in oxygen means that the Ca^{2+} remains elevated for a prolonged period and this results in cell death. There are many other diseases that result from a more subtle remodelling of the Ca^{2+} signalling system (Berridge 2012b; Berridge et al 2013) that result in transients that are too large (heart disease) or too low (schizophrenia). In addition, there may be a small elevation in the resting level Ca^{2+} that may be responsible for inducing Alzheimer's disease (AD) as will be argued later.

Before considering how Ca²⁺ dysregulation might explain AD, it is necessary to describe how Ca²⁺ acts normally to form memories when awake and erase them during sleep. Memory formation is thus intimately connected with the operation of the brain rhythms that characterize the sleep/wake cycle.



Figure 7. Deregulation of Ca²⁺ signalling can contribute to multiple human pathologies. During ischemia associated with stroke or a heart attack, a decrease in blood supply and the resulting lack of oxygen prevents the pumping of Ca²⁺ and the resulting persistent elevation of Ca²⁺ induces cell death. More subtle remodelling of Ca²⁺ signalling pathways results in some of the major diseases in man. Heart disease is caused by Ca²⁺ transients that are too high, whereas smaller transients result in schizophrenia. An elevation in the resting level of Ca²⁺ may be responsible for Alzheimer's disease

BRAIN RHYTHMS AND THE TONIC EXCITATORY DRIVE

The neural activity of the conscious brain during wakefulness, when we are aware of our surroundings, is very different to that during sleep. Electroencephalogram (EEG) recordings indicate that when we are awake, our brain cells are oscillating at very high frequencies in the theta and gamma ranges (*Figure 8*). A rapid decline in neural activity occurs at the onset of sleep as the brain switches from consciousness to a period of unconsciousness. This period of sleep consists of two main phases: rapid eye movement (REM) sleep and non-rapid eye movement (NREM) sleep. The oscillatory rhythms that occur during the sleep/wake cycle are of critical importance for memory in that memories are formed during the fast rhythms that occur while awake, whereas memory erasure occurs during the slow rhythms that occur during NREM sleep. To understand memory, therefore, it is necessary to understand the Ca²⁺ signals that occur during these different rhythms.



Figure 8. The sleep/wake cycle. Sleep consists of two main phases: non-rapid eye movement (NREM) and rapid eye movement (REM) sleep. The NREM sleep has four different stages. During the wake state, memories are formed when neurons in the brain fire at the fast theta and gamma neural rhythms. Memories are erased during the delta and slow oscillations that occur during NREM sleep One of the master regulators of this sleep/wake cycle is the ascending arousal system, which wakes up the sleeping brain and is responsible for maintaining the period of wakefulness. This arousal system consists of a heterogeneous population of neurons located within the brainstem, midbrain, basal forebrain and hypothalamus that produce sleep/wake regulatory molecules that are released throughout the major regions of the brain such as the cortex and hippocampus (*Figure 9*).



Figure 9. The human brain has a number of discrete regions that carry out different functions. There is a large cortex that extends around to connect to the hippocampus. The middle of the brain has the corpus callosum, ventricles and the thalamus. Below the thalamus is the hypothalamus that extends down into the pituitary. The cerebellum at the back controls movement. Neurons of the ascending arousal system, which are located in the basal forebrain, midbrain and hindbrain, send their axons out into the rest of the brain where they release transmitters, such as orexin, acetylcholine (ACh), 5-hydroxytryptamine (5-HT) and norepinephrine (NE) that act to control the sleep/wake cycle

The different oscillatory modes that occur during the sleep/wake cycle are regulated by an interaction between the ascending arousal system and the sleep-inducing system (Figure 10). The ascending arousal system consists of an array of neurons such as the orexinergic neurons, cholinergic neurons, serotonergic neurons, histaminergic neurons, noradrenergic neurons and dopaminergic neurons. The neurotransmitters released by this arousal system act on neural circuits to regulate the sleep/wake cycle (Berridge 2012c; Berridge 2014a). The orexinergic neurons that release orexin are master regulators that act to stimulate the other arousal neurons. The central role of orexinergic neurons is also evident in the way they integrate the action of a number of other sleep regulatory factors such as ghrelin, leptin, glucose and adenosine. The sleep/wake cycle is also controlled by the circadian clock. The onset of sleep is initiated by neurons located in the preoptic area of the brain such as the ventrolateral preoptic (VLPO) neurons, which act by releasing GABA that inhibits the activity of the ascending arousal neurons. When the arousal neurons are active during the wake period, they release transmitters onto the brain neurons where they induce the tonic excitatory drive that generates and maintains the fast brain rhythms responsible for consciousness.

Tonic excitatory drive

The transmitters that are released from the arousal neurons act on receptors that are coupled to various signalling pathways that provide the depolarization that controls the level of the tonic excitatory drive that regulates the different oscillatory states that characterize the sleep/wake cycle (Berridge 2012c; Berridge 2014a). Variation in the activity of this tonic drive functions much like a rhythm rheostat in that it controls the hierarchy of rhythms with the lowest frequencies occurring during sleep that are then switched to the higher frequency rhythms of the wake state (*Figure 11*). The membrane depolarization responsible for the tonic excitatory drive is induced by various signalling



Figure 10. The sleep/wake cycle is regulated by the ascending arousal and sleep-inducing systems. The ascending arousal system releases transmitters such as orexin, acetylcholine (ACh), 5-hydroxytryptamine (5-HT), norepinephrine (NE) and dopamine (DA) that activate signalling systems responsible for the tonic excitatory drive, which is a rhythm rheostat that controls the neural rhythms that occur during the sleep/wake cycle. The sleep-inducing system releases the inhibitory transmitter GABA that switches off the ascending arousal neurons to induce sleep

mechanisms that either activate inward Na⁺ currents or close outward K⁺ currents (Berridge 2014a). For example, hydrolysis of the phospholipid phosphatidylinositol 4,5-bisphosphate (PtdIns4,5P₂) has two effects. Firstly, it closes the K_{V7} .2/ K_{V7} .3 channels responsible for the M current. Switching off this M current depolarizes the membrane to increase neuronal activity. Secondly, hydrolysis of PtdIns4,5P₂ to form InsP₃ releases Ca²⁺ that stimulates the Ca²⁺-activated non-selective cation (CAN) channel. The CAN channel can also be activated by Ca²⁺ entering through the voltage-operated Ca²⁺ (VOC)

channel. The NE and DA act through the cyclic AMP signalling pathway to enhance the activity of the hyperpolarizing-activated cyclic nucleotide-gated (HCN) channel responsible for the depolarizing $I_{\rm h}$ current.



Figure 11. The tonic excitatory drive. Neurons of the ascending arousal system release transmitters such as orexin, acetylcholine (ACh), 5-hydroxytryptamine (5-HT), norepinephrine (NE) and dopamine (DA) that activate signalling systems that control the neural rhythms that occur during the sleep/wake cycle. The tonic excitatory drive mechanism depends on membrane depolarization that results from closing the KV7.2/KV7.3

channels responsible for the M current and the opening of the Ca²⁺-activated non-specific cation channel (I_{CAN}) and the hyperpolarizing-activated cyclic nucleotide-gated (HCN) channel responsible for the I_h current. This tonic excitatory drive functions as a rhythm rheostat to regulate the different neural rhythms that occur during the sleep/wake cycle

An important feature of this tonic excitatory drive is that it is applied equally to both the excitatory and inhibitory neurons and it is essential for proper brain function for this stimulation to be finely balanced. There are indications that alterations in this excitation-inhibition (E-I) balance may occur in psychiatric diseases such as BPD where excessive excitation may be responsible for the manic phase, whereas depression may result from excessive inhibition (Berridge 2014b).

With regard to memory formation, a key feature of these fast brain rhythms is that the excitatory neurons operate in synchrony with each other to create the functional interaction responsible for memory formation. The fast gamma oscillations (20-80 Hz) are generated through the operation of a network oscillator that depends on the feedback interactions between the inhibitory interneurons and the excitatory neurons (Figure 12). A unique feature of these circuits is that each inhibitory interneuron sends out a long axon with multiple terminals that makes contact with and thus controls the activity of many excitatory neurons. The inhibitory interneuron has a primary role in setting up the gamma rhythm in that it fires an action potential at the crest of each gamma cycle and this induces the hyperpolarization that occurs synchronously in all the excitatory neurons (Figure 12). While all the excitatory neurons participate in each gamma cycle by responding to GABA to produce a hyperpolarization response, they fire much less frequently and the resulting action potentials occur within a narrow time window towards the end of the pacemaker depolarization. In this way, a single inhibitory interneuron can entrain the inherent rhythmical activity of a large population of pyramidal neurons. When these excitatory neurons are processing information, they communicate with each other (green arrow) and the resulting action potential coincidence (Figure 12) is responsible for memory formation.

MEMORY FORMATION

There are two types of memory: working memory located primarily in the hippocampus (equivalent to the RAM in a computer) and the permanent



Figure 12. Many neural circuits (see inset at the bottom) consist of fast spiking inhibitory interneurons (red) and excitatory neurons (green) interacting with each other through a positive/negative feedback loop. A unique feature of many circuits is that each inhibitory neuron controls the activity of many excitatory neurons (red arrow). The inhibitory neuron fires an action potential on each gamma cycle and this serves to induce a hyperpolarization that occurs synchronously in all the excitatory neurons. While all the excitatory neurons participate in each gamma cycle, they fire much less frequently towards the end of the pacemaker depolarization. When two excitatory neurons communicate with each other (green arrow) there is coincidence in the action potentials and this is critical for memory formation. The ascending arousal system releases transmitters such as acetylcholine (ACh) that excite both the excitatory and inhibitory neurons (blue arrows)

memory store located in the cortex (equivalent to the hard drive in a computer) (*Figure 9*). New memories, which are acquired during the wake period, are stored temporary in the working memory. During sleep, any new information is uploaded into the permanent memory store in the cortex, whereas most of the other memories are erased so that the working memory store is available to acquire new memories during the next wake period. To understand memory,

therefore, it is necessary to consider how memories are formed in regions such as the hippocampus when we are conscious and how they are erased when we go to sleep.

The hippocampus, which is one of the regions where the working memory is located, consists of a trisynaptic circuit (Figure 13). At one end, the hippocampus is connected to various cortical regions. The cortical region closest to the hippocampus is the presubiculum and it is through this region that the working memory in the hippocampus can communicate with the permanent memory in the cortex. The hippocampal pyramidal neurons, which are arranged into three regions (dentatae gyrus, CA3 and CA1), are joined together to form a trisynaptic circuit (synaptic connections 1-3 in Figure 13). The first synapses are on the granule cells of the dentate gyrus that receives input from the perforant fibres. These granule cells send out axons called mossy fibres that extend into the CA3 region, where they form the second group of synapses by innervating the characteristic pyramidal cells. The axon from the CA3 neuron bifurcates: one part forms the commissural fibres that are directed down to the septum, whereas the other gives rise to Schaffer collaterals that complete the trisynaptic circuit by innervating the pyramidal neurons in the CA1 region. The axons emanating from the CA1 neurons carry information back to the cortex where the permanent memories are stored.

The dendrites on these three neuronal cell types are encrusted with spines that receive the synaptic inputs, enabling them to communicate with each other. All of these synaptic connections are highly plastic in that they are the sites where memories are formed during the process of action potential coincidence (*Figure 14*). The action potential in the presynaptic neuron (e.g. Neuron A in *Figure 14*) invades the synaptic ending, where it activates a voltageoperated Ca²⁺ channel (VOC) to produce a local pulse of Ca²⁺ that triggers the release of the transmitter glutamate. The glutamate then has two important



Figure 13. The hippocampus has a trisynaptic local circuit. The first synapses (1) are on the granule cells of the dentate gyrus (orange) that receives input from the entorhinal cortex. These granule cells send out axons called mossy fibres that extend into the CA3 region (blue), where they form the second group of synapses (2) by innervating the CA3 pyramidal cells. The axons from the CA3 neurons bifurcate: one part is directed down to the septum, whereas the other gives rise to Schaffer collaterals that complete the trisynaptic circuit by innervating the pyramidal neurons (3) in the CA1 region (yellow). The CA1 neurons send their axons back to the cortex. This hippocampal circuitry plays an important role in the operation of the temporary working memory

functions: it activates the AMPA receptors (AMPARs) that gate Na⁺ to initiate an action potential and the resulting depolarization provides an essential signal to facilitate the opening of the NMDA receptors (NMDARs). The latter are unusual in that they require both glutamate and depolarization before they can open. When both neurons fire an action potential in synchrony with each other, the NMDAR channel opens to allow Ca²⁺ to flood into the postsynaptic ending to trigger memory formation (*Figure 14*).



Figure 14. The action potential (AP) coincidence, which occurs when two neurons communicate with each other, plays a critical role in memory formation. When the AP in neuron A reaches the synaptic terminal, the depolarization acts to open voltage-operated Ca²⁺ channels (VOCs) that creates the signal to trigger exocytosis and the release of glutamate. The glutamate has two actions. Firstly, it acts on AMPARs to gate Na⁺ resulting in depolarization that triggers the action potential. Secondly, glutamate activates the NMDARs to induce the entry of Ca²⁺ that is responsible for activating the three biochemical processes responsible for memory formation (See Figure 15 for details)

The resulting burst of Ca^{2+} is then responsible for inducing three biochemical changes that are responsible for memory formation (*Figure 15A*). Firstly, Ca^{2+} activates CaMKII to phosphorylate the AMPAR resulting in an increase in its sensitivity to glutamate. Secondly, this sensitivity to glutamate is also enhanced by the insertion of more AMPARs through a process of Ca^{2+} dependent exocytosis. Thirdly, Ca^{2+} activates actin polymerization resulting in spine elongation and a closer apposition between the pre- and post-synaptic membranes. These biochemical changes, which constitute a new memory, are then retained in the working memory for the remainder of the wake period. At the onset of sleep, those memories that represent novel information are then consolidated by being uploaded into the permanent memory store in the cortex before much of the information in the working memory is erased through the low intensity global Ca²⁺ transients that occur during slow wave sleep.

MEMORY ERASURE

Normal memory erasure, which occurs during the early phase of NREM sleep, is also a Ca²⁺-dependent process (*Figure 15B*). Less is known about the signals responsible for these erasure processes, but it is likely to be driven by the slow wave oscillations in Ca²⁺ that have been recorded during this phase (Errington et al 2012). The remarkable aspect of these oscillations is that each transient is global in that the Ca²⁺ rises throughout the neuron (*Figure 15B*) quite unlike the spine-specific Ca²⁺ transients that are responsible for memory formation (*Figure 15A*).

The global Ca^{2+} transients that occur during NREM sleep (*Figure* 15B) result in an elevation of Ca^{2+} in each spine where they act to erase any memories that were formed during the wake period. This erasure depends on the action of calcineurin (CaN), which is a Ca^{2+} -sensitive enzyme that responds to lower levels of Ca^{2+} in the 300-500 nM range (*Figure* 15B). The CaN dephosphorylates the AMPARs thus leading to receptor desensitization. The AMPARs that were released to the cell surface during memory formation (*Figure* 15A) are sucked back into the cell by the process of endocytosis. Finally, the CaN acts to depolymerize actin thus reducing its length and restoring the spine to its previous bulbous shape.

In summary, spine-specific Ca^{2+} transients that occur during the wake period are responsible for forming memories, whereas the periodic global Ca^{2+}



Figure 15. The role of Ca²⁺ in memory formation and memory erasure. A. Memories are formed when the NMDARs open to create the high intensity pulse of Ca²⁺ that activates CaMKII to phosphorylate and sensitize the AMPARs. Such sensitization is enhanced further by the exocytosis of vesicles containing AMPARs. Following the conversion of G to F actin the resulting formation of actin filaments changes the shape of the spine. B. Memories are erased by lower intensity Ca²⁺ transients that activate calcineurin (CaN) to reverse the three biochemical changes that are responsible for memory formation

transients that appear during NREM sleep erase these temporary memories (*Figure 16*). In the case of Alzheimer's disease (AD), it is proposed that a permanent elevation of the resting level of Ca²⁺ into the 300 nM range may act to continuously erase memories shortly after they are formed during the wake period (Berridge 2012c, 2014a).



Figure 16. Calcium-induced memory formation and erasure. During wake periods, high intensity Ca²⁺ spikes confined to specific spines are responsible for memory formation. Part of the biochemical change is the formation of actin filaments that cause spine elongation. The biochemical changes are analogous to a computer digital switch from 0 to 1. During sleep, global elevations of Ca²⁺ in the 300 nM range result in memory erasure as the biochemical changes that occur during memory formation are rapidly reversed i.e. the spine is switched back from 1 to 0

CALCIUM HYPOTHESIS OF ALZHEIMER'S DISEASE

The development of Alzheimer's disease (AD) is driven by the accumulation of amyloid β (A β) protein, which is a neuron-derived pathogenic factor that brings about the loss of memory and subsequent neuronal cell death that characterizes the progression of AD. This development of AD is a slow process and attention here will be focused on the initial period of memory loss (*Figure 17*).



Figure 17. The calcium-induced memory erasure hypothesis of Alzheimer's disease (AD). AD begins late in life with the loss of memory that then slowly progresses to neuronal cell death and dementia. At the beginning, the amyloid protein released from neurons begins to activate a gradual elevation in the resting level of Ca²⁺ into the 300 nM range that erases memories soon after they are formed. As the resting level of Ca²⁺ rises above 300 nM, it induces the neuronal death that results in dementia

The Ca²⁺ hypothesis of AD suggests that the deleterious effects of A β depend on a dysregulation of Ca²⁺ signalling (Khachaturian 1989; LaFerla 2002; Stutzmann 2007; Thibault et al 2007; Bezprozvanny and Mattson 2008; Stutzmann and Mattson 2011). The basic idea is that abnormal amyloid metabolism induces an up-regulation of neuronal Ca²⁺ signalling that is responsible for the initial decline in memory and subsequent apoptosis (*Figure 17*). When Ca²⁺ was measured in the spines and dendrites of cortical pyramidal neurons of transgenic mice that express human AD genes, there was a higher than normal resting level in those neurons located close to amyloid deposits (Kuchibhotla et al 2008). Similarly, the resting level of Ca²⁺ in the cortical neurons of 3xTg-AD animals was 247 nmol/L, which was twice that found in the non-Tg controls (110 nmol/L) (Lopez et al 2008). In addition, there is increasing evidence that A β also acts on the neighbouring microglial cells and astrocytes to induce local inflammatory responses that contribute to Ca²⁺ signalling deregulation (reviewed in Berridge 2014a).

The deregulation of neuronal Ca²⁺ signalling may depend on changes in both the entry of external Ca²⁺ and its release from internal stores. The amyloid β (A β) oligomers that accumulate outside diseased neurons (*Figure 18*) can increase Ca²⁺ entry following its insertion into the membrane to form channels (Demuro et al 2011) or by activating NMDA receptors. The A β can also activate the calcium-sensitive receptor (CaR) to increase the level of InsP₃ (Ye et al 1997; Chiarini et al 2009; Armato et al 2012). The CaR is coupled to phospholipase C through the G protein G_q to increase the formation of InsP₃. An increase in the formation of InsP₃ will enhance the amount of Ca²⁺ being released from the endoplasmic reticulum (ER) by the InsP₃ receptors (InsP₃Rs). Indeed, a feature of AD is an increase in the activity of the InsP₃Rs (Cheung et al 2008; Müller et al 2011). Expression of the Cav1.2 L-type Ca²⁺ channel, which has been implicated in memory formation (Moosmang et al 2005), is induced by A β (Webster et al 2006; Dursun et al 2011) and this will enhance the release of Ca^{2+} from the RYRs. Such an action would be enhanced further by the amyloid-dependent increase in the expression of the ryanodine receptor (RYR) (Supnet et al 2006). Neuronal levels of the Ca^{2+} buffer calbindin-28 k (CB) are known to be reduced in AD (Sutherland et al 1992). In addition, Aβ may also reduce Ca^{2+} extrusion from the cell by inhibiting both the plasma membrane Ca^{2+} -ATPase (PMCA) and the Na⁺/K⁺-ATPase that maintains the Na⁺/Ca²⁺ exchanger (NCX) (Mark et al 1995). Thus, there are a number of mechanisms that could contribute to the upregulation of Ca^{2+} signalling to account for the persistent elevation in the resting level of Ca^{2+} (Kuchibhotla et al 2008; Lopez et al 2008).

There is some evidence, based primarily on AD mouse models, that the symptoms of AD can be reversed by a range of molecules such as Li⁺, Bcl-2, dantrolene, FK506, MitoQ and vitamin D. All of these treatments impact on the Ca²⁺ signalling pathways that have been implicated in AD (See the white boxes in *Figure 18*):

- Lithium. There is evidence that the risk of developing AD disease might be reduced by Li⁺ (Nunes et al 2007), but how this occurs is not clear. The action of Li⁺ in bipolar disorder may depend on its ability to reduce the activity of the InsP₃/Ca²⁺ signalling pathway (Berridge 2014b) and exactly the same mechanism could explain its protective effect in AD (Berridge 2014a).
- *Bcl-2.* The anti-apoptotic factor Bcl-2 reduces the symptoms of AD (Rohn et al 2008). This observation is consistent with the calcium hypothesis of AD because Bcl-2 is known to bind to the InsP₃R to reversibly inhibit InsP₃-dependent channel opening (Rong and Distelhorst 2008). If such a mechanism operates in neurons, a reduction in the release of Ca²⁺ from the internal store and the subsequent decline in the level of Ca²⁺ would support the notion

that the up-regulation of $\mathrm{Ca}^{\scriptscriptstyle 2*}$ signalling is responsible for driving memory loss in AD.

- *FK506.* The persistent elevated levels of Ca²⁺, which are thought to occur in AD, erase memories by stimulating the enzyme CaN (*Figure 15B*). The level of CaN was found to be elevated in aged rats and in an APP transgenic mouse model of AD that displays defects in cognition. In the case of the transgenic mouse, the defects in cognition could be reversed by FK506, which is an inhibitor of CaN (Dineley et al 2007).
- *Dantrolene*. AD symptoms in mouse models can also be reduced by dantrolene that acts to inhibit release of Ca²⁺ through the ryanodine receptors (RYR) (Oules et al 2012).
- *MitoQ*. An increase in the formation of reactive oxygen species (ROS), which are known to enhance the sensitivity of both the InsP₃ and RYRs, contributes to the elevation in intracellular Ca²⁺ (*Figure 18*). One of the sources of ROS is the mitochondria and inhibition of this ROS formation by a mitochondrial-targeted antioxidant MitoQ prevents the cognitive decline in a transgenic mouse model of AD (McManus et al 2012).
- Vitamin D. There are an increasing number of studies indicating that a deficiency in vitamin D may contribute to the onset of neurodegenerative diseases such as AD and Parkinson's disease (PD) (Tuohimaa et al 2009; Wang et al 2012). With regard to AD, the decline in cognition that occurs normally in older adults may also be linked to vitamin D deficiency (Przybelski and Binkley 2007). Enhanced dietary vitamin D intake lowers the risk of developing AD in a study of older women (Annweiler et al 2012). Since both AD and PD seem to be caused by abnormal elevations in Ca²⁺, I shall develop

the notion that the deleterious effect of vitamin D deficiency may be explained by an alteration in its normal role in regulating intracellular Ca²⁺ homeostasis (Annweiler and Beauchet 2011; Butler et al 2011). The brain possesses all the enzyme responsible for both vitamin D formation and degradation. Neurons also express the vitamin D receptor (VDR) and VDR polymorphisms have been associated with Parkinson's disease (Butler et al 2011), age-related decline in cognition and the incidence of depressive symptoms and is also a risk factor for AD (Wang et al 2012; Lehmann et al 2011).

All the evidence outlined above indicates that vitamin D has a significant protective role in the brain by helping to maintain both Ca²⁺ and ROS homeostasis. Such an action is consistent with the fact that vitamin D can regulate the expression of those Ca²⁺ signalling toolkit components responsible for reducing Ca²⁺ levels (*Figure 18*). For example, vitamin D stimulates the expression of the plasma membrane Ca²⁺ ATPase (PMCA), the Na^{+/}Ca²⁺ exchanger (NCX) and Ca²⁺ buffers such as CB and parvalbumin (de Viragh et al 1989; Wasserman 2004; Pérez et al 2008). Neuronal levels of CB are known to be reduced in AD (Sutherland et al 1992). In addition to enhancing these mechanisms for lowering the level of Ca²⁺, vitamin D can curb the influx of external Ca²⁺ by reducing the expression of L-type voltage-sensitive channels, which are markedly elevated in rat hippocampal neurons (Brewer et al 2006).

In summary, any reduction in vitamin D levels will result in elevated neuronal Ca²⁺ levels and this could account for a number of neurodegenerative diseases such as AD and PD. A clinical trial is in progress to test whether vitamin D can alleviate some of the degenerative processes associated with AD (Annweiler and Beauchet 2011) and there is every reason to suspect that it might prove efficacious in other neural diseases such as PD that are driven by a dysregulation of Ca²⁺ signalling.



Figure 18. Reversal of calcium signalling in neurodegeneration. The neurodegeneration in mouse models of Alzheimer's disease (AD) can be reversed by a variety of agents (shown in the white boxes). Consistent with the calcium hypothesis of AD, many of these agents act to either reduce the abnormal elevation in intracellular calcium that is proposed to be the cause of memory loss and increased apoptosis. FK506 acts to inhibit the Ca²⁺ activation of calcineurin (CaN) that is responsible for erasing memories

Despite this strong evidence for a dysregulation of Ca^{2+} playing a role in AD, the way in which the elevation of Ca^{2+} initiates the loss of memory has not been explained. In the *calcium-induced memory erasure hypothesis of AD*, I have argued that the onset of this disease depends upon a progressive elevation in the resting level of Ca^{2+} (Berridge 2010, 2011, 2012b, 2012c, 2014a). The prolonged phase of memory loss may be caused by an elevation of the resting level of Ca^{2+} into the range of 300 nM (*Figure 19*). In a normal brain, fluctuations in the level of Ca^{2+} have a key role to play in regulating both information storage and erasure, which are essential features of normal cognition. During the day,



Figure 19. Calcium-induced memory erasure hypothesis of AD. In normal individuals (left panel), brief high concentration (1000 nM) spikes of Ca²⁺ that occur during the day are responsible for activating long-term potentiation (LTP) that form memories that are held in a temporary memory store in the hippocampus (white panel). During sleep, novel memories (red bar) are consolidated following their transfer to the permanent memory store in the cortex. The memories in the temporary store are then erased by a period of intermediate elevation of Ca²⁺ (approximately 300 nM). In Alzheimer's disease (right panel, amyloid metabolism results in a permanent elevation of Ca²⁺ into this intermediate range that continuously erases memories from the temporary memory store soon after they are formed. Memories can still be formed by brief high-intensity spikes of Ca²⁺, but the persistent amyloid-dependent elevation of Ca²⁺ erases these temporary memories before they can be transferred to the permanent memory store

brief high concentration spikes of Ca^{2+} in the spines on neurons of the working memory are responsible for forming the temporary memories that are then stored until sleep occurs. During slow wave sleep, novel information placed in this temporary memory is then uploaded and consolidated in the more permanent memory store in the cortex. During this phase of sleep, the smaller global elevations in Ca^{2+} described earlier (*Figure 16*), erase information from this working memory. In the case of AD, the basic idea is that the amyloiddependent elevation of the resting level of Ca^{2+} acts to erase memories soon after they are formed during wake periods. In effect, the permanent elevation of Ca^{2+} level quickly erases information from the working memory (*Figure 19*). Memories can still be formed by brief spikes of Ca^{2+} , but these are rapidly erased before they can be transferred to the permanent store during sleep.

CONCLUSION

In summary, the buildup of $A\beta$ oligomers during the onset of AD has a profound effect on the activity of the local community of cells in the brain. The inflammatory response in both the microglia and astrocytes contribute to this dysregulation of neural Ca²⁺ signalling that seems to be one of the major factors in the development of AD. It is argued that in the early stages of AD, this alteration in signalling is manifest as a persistent elevation of the resting level of Ca²⁺ that results in memories acquired during the wake period being rapidly erased before they can be consolidated during sleep.

There are a number of agents that can alleviate the symptoms of AD in mouse models and this not only supports the idea that an up-regulation of Ca^{2+} may be responsible for the onset of AD but they also provide proof of concept that this debilitating neurodegenerative disease could be alleviated by treatments targeted at neuronal Ca^{2+} signalling pathways. Vitamin D is of particular interest because it may play a critical role in memory retention by regulating the expression of the Ca^{2+} components necessary to maintain low resting levels of Ca^{2+} .

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