

TK 31.855



KFKI
24/1969

1969 NOV 28

ON HYPERMATRICES WITH BLOCKS COMMUTABLE
IN PAIRS IN THE THEORY OF MOLECULAR VIBRATIONS

B. Gellai

HUNGARIAN ACADEMY OF SCIENCES
CENTRAL RESEARCH INSTITUTE FOR PHYSICS

BUDAPEST

UC32

ON HYPERMATRICES WITH BLOCKS COMMUTABLE IN PAIRS IN THE
THEORY OF MOLECULAR VIBRATIONS

B. Gellai

Central Research Institute for Physics, Budapest, Hungary

Matrix formalism is routinely used for the solution of problems in modern chemistry. Here we consider, in particular, the problem of molecular vibration of the form [1]

$$|\underline{GF} - \lambda \underline{E}| = 0 ,$$

/1/

where \underline{G} is the inverse of the matrix of kinetic energy depending on the interatomic distances and mass of the molecule, \underline{F} is the matrix of potential energy determined by the force constants.

The problem, mentioned above, can be treated as an eigenvalue problem since the eigenvalues of the \underline{GF} matrix are proportional to the individual frequencies of the molecular vibrations, or as an "inverse eigenvalue problem" if the force constants are to be determined from the elements of the \underline{G} matrix and from the eigenvalues.

The solution of either problem becomes difficult in the case of polyatomic molecules, since the order of the matrices increases with the number of atoms involved. Efforts have been made therefore to split the given problem into a set of smaller problems. A known method in chemistry for this is the construction of symmetry coordinates using some group theoretical considerations, in terms of which the matrix of vibrational problems is reduced to the maximum extent made possible by the molecular symmetry [1].

For molecules, having a "good" symmetry, the \underline{GF} matrix has in some cases a structure such that it can be reduced in terms of pure matrix theory.

In this paper a method based on EGERVARY's theorem [2] will be

described for the complete reduction of the GF matrix which consists of blocks commutable in pairs.

Application of the method will be shown in the case of methyl halide molecules.

1. § DESCRIPTION OF THE METHOD

The following notation is used:

- $\underline{\underline{A}} = [a_{ij}] \dots \dots \dots$ is the matrix composed of the scalars a_{ij}
- $[\underline{\underline{A}}_{ij}] \dots \dots \dots$ is the hypermatrix composed of the blocks $\underline{\underline{A}}_{ij}$
- $\langle a_1, a_2, \dots, a_n \rangle \dots \dots \dots$ is the diagonal matrix composed of the scalars a_i
- $\langle \underline{\underline{A}} \rangle_1^n = \langle \underline{\underline{A}}^{(1)}, \underline{\underline{A}}^{(2)}, \dots, \underline{\underline{A}}^{(n)} \rangle \dots \dots \dots$ is the hyperdiagonal matrix of order n composed of the square matrices $\underline{\underline{A}}^{(k)}$

- $\underline{\underline{A}} \dots \dots \dots$ is the transpose of matrix $\underline{\underline{A}}$
- $\underline{\underline{E}}_n \dots \dots \dots$ is the unit matrix of order n
- $\underline{u}, \underline{v} \dots \dots \dots$ are column vectors
- $\underline{u}^*, \underline{v}^* \dots \dots \dots$ are row vectors
- $\underline{\underline{A}} \times \underline{\underline{B}} = [\underline{\underline{A}} \cdot b_{ij}] \dots \dots \dots$ is the direct product of the matrices $\underline{\underline{A}}$ and $\underline{\underline{B}}$

$$\underline{u} \times \underline{v} = \begin{bmatrix} \underline{uv}_1 \\ \underline{uv}_2 \\ \vdots \\ \underline{uv}_n \end{bmatrix} \dots \dots \dots \text{ is the direct product of vectors } \underline{u} \text{ and } \underline{v} .$$

$\underline{\underline{T}} = [\underline{t}_{k\ell}] = [\underline{t}_{11}, \underline{t}_{12}, \dots, \underline{t}_{1n}; \underline{t}_{21}, \underline{t}_{22}, \dots, \underline{t}_{2n}; \dots]$ is a matrix partitioned in the column vectors $\underline{t}_{k\ell}$

Let $[\underline{\underline{A}}_{ij}]$ ($i, j = 1, 2, \dots, n$) be a hypermatrix of order $n \times m$ with blocks $\underline{\underline{A}}_{ij} = p_{ij}(\underline{\underline{A}})$, where $\underline{\underline{A}}$ is a symmetrical matrix of order m and $p_{ij}(x)$ are polynomials of the real variable x , subject only to the restriction $p_{ij}(x) = p_{ji}(x)$. If the spectral decomposition of matrix $\underline{\underline{A}}$ is given by

$$\underline{\underline{A}} = \underline{\underline{W}} \langle \lambda_1, \lambda_2, \dots, \lambda_m \rangle \underline{\underline{W}}^*$$

then the blocks \underline{A}_{ij} decompose to

$$\underline{A}_{ij} = p_{ij}(\underline{A}) = \underline{W} \langle p_{ij}(\lambda_1) \ p_{ij}(\lambda_2) \ , \dots \ , \ p_{ij}(\lambda_m) \rangle \underline{W}^*$$

Thus, $[\underline{A}_{ij}]$ can be factorised as

$$[\underline{A}_{ij}] = (\underline{W} \cdot \times \underline{E}_n) \cdot \underline{P} \cdot \langle \underline{\lambda}^{(1)} \ , \ \underline{\lambda}^{(2)} \ , \dots \ , \ \underline{\lambda}^{(m)} \rangle \cdot \underline{P}^* \cdot (\underline{W}^* \cdot \times \underline{E}_n) \ , \quad /2/$$

where $\underline{\lambda}^{(k)} = [p_{ij}(\lambda_k)]$ and \underline{P} is the permutation matrix which transforms the sequence of ordered pairs

$$(11)(12) \dots (1m)(21)(22) \dots (2m) \dots (n1)(n2) \dots (nm)$$

into the sequence

$$(11)(21) \dots (n1)(12)(22) \dots (n2) \dots (1m)(2m) \dots (nm) \ [2] \ .$$

On multiplying the left and right hand side of /2/ by $\underline{P}^* \cdot (\underline{W}^* \cdot \times \underline{E}_n)$ and $(\underline{W} \cdot \times \underline{E}_n) \cdot \underline{P}$, respectively, we obtain

$$\underline{P}^* \cdot (\underline{W}^* \cdot \times \underline{E}_n) \cdot [\underline{A}_{ij}] \cdot (\underline{W} \cdot \times \underline{E}_n) \cdot \underline{P} = \langle \underline{\lambda}^{(k)} \rangle_1^m \ . \quad /3/$$

The transformation matrix $(\underline{W} \cdot \times \underline{E}_n) \cdot \underline{P}$ can be written in the form

$$\underline{T} = [\underline{t}_{k\ell}] = [\underline{w}_k \cdot \times \underline{e}_\ell] \ (k=1,2,\dots,m; \ell=1,2,\dots,n) \ , \quad /4/$$

where \underline{w}_k is the column k of matrix \underline{W} , that is the eigenvector corresponding to the eigenvalue λ_k of the matrix \underline{A} , while \underline{e}_ℓ is the unit vector ℓ of order n . If ℓ runs over the values $1,2,\dots,n$, the direct products in /4/ , for fixed k , form the "block-column" k of the matrix \underline{T} .

If the matrix $[\underline{A}_{ij}]$ is bordered by a row and a column vector in the following manner

$$\left[\begin{array}{c|c} c & \underline{w}_p^* \cdot \times \underline{v}^* \\ \hline \underline{w}_p \cdot \times \underline{u} & [\underline{A}_{ij}] \end{array} \right] \quad /5/$$

where c is constant, \underline{w}_p is the characteristic vector p of \underline{A} , \underline{v}^* and \underline{u} are vectors of order n , then the transformation matrix \underline{T} - which is bordered by unit vectors - transforms the form /5/ into

$$\begin{bmatrix} 1 & 0 \\ 0 & [\underline{w}_k^* \cdot \underline{e}_l] \end{bmatrix} \cdot \begin{bmatrix} c & \underline{w}_p^* \cdot \underline{v}^* \\ \underline{w}_p \cdot \underline{u} & [\underline{A}_{ij}] \end{bmatrix} \cdot \begin{bmatrix} 1 & 0 \\ 0 & [\underline{w}_k \cdot \underline{e}_l] \end{bmatrix} =$$

$$= \begin{bmatrix} c & (\underline{w}_p^* \cdot \underline{v}^*) [\underline{w}_k \cdot \underline{e}_l] \\ [\underline{w}_k^* \cdot \underline{e}_l] (\underline{w}_p \cdot \underline{u}) & [\underline{w}_k^* \cdot \underline{e}_l] \cdot [\underline{A}_{ij}] \cdot [\underline{w}_k \cdot \underline{e}_l] \end{bmatrix} \quad \cdot /6/$$

Performing the multiplication for the lowest block on the left we get

$$[\underline{w}_k^* \cdot \underline{e}_l] (\underline{w}_p \cdot \underline{u}) = \begin{matrix} 1 \\ \vdots \\ 1 \\ \vdots \\ n \\ \vdots \\ m \end{matrix} \cdot \begin{matrix} \vdots \\ \vdots \\ \underline{w}_k^* \cdot \underline{w}_p \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{matrix} \cdot \begin{matrix} \vdots \\ \vdots \\ \underline{u}_l \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{matrix} = \delta_{kp} u_l \quad \cdot /7/$$

The product of \underline{T}^* and $(\underline{w}_p \cdot \underline{u})$ is non zero only if $k = p$ and this product is precisely the product of the "block-row" p of \underline{T}^* and the vector $(\underline{w}_p \cdot \underline{u})$. /In fact, for $p \neq k$ on the right hande side of /7/ we have the product of two eigenvectors corresponding to different eigenvalues of \underline{A} , which is equal to zero./ If l runs over the values $1, 2, \dots, n$, we obtain the vector \underline{u} . Consequently, the hyperdiagonal matrix /6/ is bordered by vectors partitioned into m parts, the p -th of which is only differing from zero, therefore the bordering row and column vectors can be written as

$(\underline{e}_p^* \cdot \underline{v}^*)$ and $(\underline{u} \cdot \underline{e}_p)$ respectively. Thus /6/ becomes

$$\left[\begin{array}{c|c} c & \underline{e}_p^* \cdot \underline{v}^* \\ \hline \underline{u} \cdot \underline{e}_p & \langle \tilde{\underline{A}}^{(k)} \rangle_1^m \end{array} \right] \quad /8/$$

where $\langle \tilde{\underline{A}}^{(k)} \rangle_1^m$ is the hyperdiagonal matrix defined by /3/.

Without loss of generality, we may put $p = 1$ choosing an appropriate numbering of the eigenvalues. In this case the hyperdiagonal matrix /8/ will have the form

$$\left[\begin{array}{c|c|c|c|c} c & \underline{v}^* & & & \\ \hline \underline{u} & \tilde{\underline{A}}^{(1)} & & & 0 \\ & & \tilde{\underline{A}}^{(2)} & & \\ & & & \ddots & \\ & 0 & & & \tilde{\underline{A}}^{(m)} \end{array} \right] \quad /9/$$

In the solution of a given vibrational problem it frequently occurs that the hypermatrices have blocks \underline{A}_{ij} with a cyclic structure, that is, blocks, whose elements are related as

$$c_{ij} = \begin{cases} c_{j-i} & \text{if } j \geq i \\ c_{n+j-i} & \text{if } j < i \end{cases}$$

These matrices are uniquely determined by their first row:

$$\underline{c} = (c_0 \ c_1 \ c_2 \ \dots \ c_{n-1})$$

It is well known, that any cyclic matrix of order n can be written as a maximum $(n-1)$ -th order polynomial of the primitive cyclic matrix

$\underline{\Omega} = \underline{c} (0 \ 1 \ 0 \ \dots \ 0)$ of order n . The eigenvalues of $\underline{\Omega}$ are the n -th roots of 1 and the components of their eigenvectors are the powers of these n -th roots of 1 [3].

Specifically, if the cyclic matrix of order 3 is symmetrical

$$\underline{C} = \begin{pmatrix} c_0 & c_1 & c_1 \\ c_1 & c_0 & c_1 \\ c_1 & c_1 & c_0 \end{pmatrix}, \quad /10/$$

its spectral decomposition is

$$\underline{C} = \underline{W} \langle \lambda_0, \lambda_1, \lambda_2 \rangle \underline{W}^*$$

where $\lambda_0 = c_0 + 2c_1$, $\lambda_1 = \lambda_2 = c_0 - c_1$ and

$$\underline{W} = \begin{bmatrix} \frac{1}{\sqrt{3}} & \frac{2}{\sqrt{6}} & 0 \\ \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{2}} \end{bmatrix}. \quad /11/$$

2. § APPLICATION

The vibrational problem of a given molecule can be formulated in terms of internal coordinates, i.e. coordinates determined by the changes in the interatomic distances and in the angles between chemical bonds, which are the most physically significant set for use in describing the potential energy of the molecule [1].

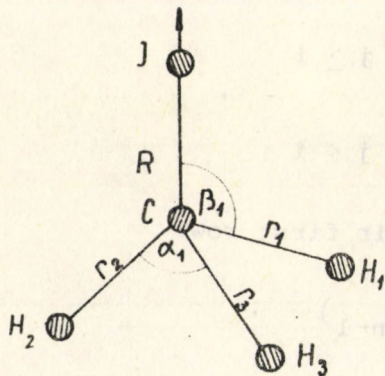


Fig. 1.

For the methyl halide molecules the internal coordinates are the changes in the distances R, r_i ($i = 1, 2, 3$) and in the angles α_i, β_i ($i = 1, 2, 3$). /See the methyl iodide molecule CH_3J in Fig. 1/.

For the present purpose we write down the \underline{F} matrix only, since entirely analogous arguments can be applied to the \underline{G} matrix and eventually to the secular equation /1/. The \underline{F} matrix in terms of internal coordinates has the form [4]:

LITERATURE

- [1] Wilson, E. B., Decius, J. C. and Cross, P.: Molecular Vibrations, McGraw Hill, New York /1955/.
- [2] Egervary E. Acta Scient. Mathematicarum, Tomus XV. Fasc. 1. 211-222 /1953/
- [3] Aitken, A. C.: Determinants and Matrices. Oliver and Boyd Edinburgh and London, New York: Interscience Publishers, Inc.
- [4] Маянц, Л.С.: Теория и расчет колебаний молекул. Изд. Академии Наук СССР, Москва 1960.
- [5] Aldous, J. Mills, I.M. Spectrochim. Acta 18, 1073 /1962/.

Printed in the Central Research Institute for Physics, Budapest

Kiadja a Könyvtár- és Kiadói Osztály. O.v.: dr. Farkas Istvánné
Szakmai lektor: Rózsa Pál. Nyelvi lektor: Kovács Jenőné
Példányszám: 100 Munkaszám: 4728 Budapest, 1969.október 15.
Készült a KFKI házi sokszorosítójában. F.v.: Gyenes Imre

